# About Bezout inequalities for mixed volumes 

M. Szusterman,<br>Université de Paris

May 2022

Workshop in Convexity and higher-dimensional probability, Atlanta

## Mixed volume : Minkowski's definition

Denote by $\mathcal{K}_{n}=\left\{K \subset \mathbb{R}^{n}: K\right.$ compact convex set $\}$.
Let $K, L \in \mathcal{K}_{n}$. Then $\operatorname{Vol}_{n}(\lambda K+\mu L)$ is a polynomial in $(\lambda, \mu)$ :

$$
\operatorname{Vol}_{n}(\lambda K+\mu L)=\sum_{k=0}^{n}\binom{n}{k} v_{k} \lambda^{k} \mu^{n-k}
$$

where $v_{k}=V_{n}(K[k], L[n-k])=V_{n}(K, \ldots, K, L, \ldots, L)$ are called mixed volumes.

## Mixed volume : Minkowski's definition

- Let $K, L \in \mathcal{K}_{n}$. Then $\operatorname{VoI}_{n}(\lambda K+\mu L)=\sum_{k=0}^{n}\binom{n}{k} v_{k} \lambda^{k} \mu^{n-k}$
- Let $K_{1}, \ldots, K_{m} \in \mathcal{K}_{n}$. Then :

$$
\operatorname{VoI}_{n}\left(\lambda_{1} K_{1}+\cdots+\lambda_{m} K_{m}\right)=\sum_{\substack{a=\left(a_{1}, \ldots, a_{m}\right) \\|a|=n}}\binom{n}{a} v_{a} \lambda^{a}
$$

where $v_{a}=V_{n}\left(K_{1}\left[a_{1}\right], \ldots, K_{m}\left[a_{m}\right]\right)$ are called mixed volumes.

## Mixed volume : Minkowski's definition

- Let $K, L \in \mathcal{K}_{n}$. Then $\operatorname{VoI}_{n}(\lambda K+\mu L)=\sum_{k=0}^{n}\binom{n}{k} v_{k} \lambda^{k} \mu^{n-k}$
- Let $K_{1}, \ldots, K_{m} \in \mathcal{K}_{n}$. Then :

$$
\operatorname{Vol}_{n}\left(\lambda_{1} K_{1}+\cdots+\lambda_{m} K_{m}\right)=\sum_{\substack{a=\left(a_{1}, \ldots, a_{m}\right) \\|a|=n}}\binom{n}{a} v_{a} \lambda^{a}
$$

where $v_{a}=V_{n}\left(K_{1}\left[a_{1}\right], \ldots, K_{m}\left[a_{m}\right]\right)$ are called mixed volumes.

- $V_{n}: \mathcal{K}_{n}^{n} \rightarrow[0,+\infty)$ is a multilinear, continuous functional.

Let $T: \mathbb{R}^{n} \rightarrow \mathbb{R}^{n}$ be an affine transform. Then :

$$
V_{n}\left(T K_{1}, \ldots, T K_{n}\right)=\operatorname{det}(T) V_{n}\left(K_{1}, \ldots, K_{n}\right)
$$

## Bezout inequality

Let $f_{1}, \ldots, f_{r}: \mathbb{R}^{n} \rightarrow \mathbb{R}$ be polynomials. Denote by $X_{1}, \ldots, X_{r}$ the associated algebraic varieties $\quad\left(X_{i}:=\left\{x \in \mathbb{R}^{n}: f_{i}(x)=0\right\}\right)$.
The Bezout inequality states that :

$$
\operatorname{deg}\left(X_{1} \cap \ldots \cap X_{r}\right) \leq \prod \operatorname{deg}\left(X_{i}\right)
$$

[B]

## Bezout inequality

Let $f_{1}, \ldots, f_{r}: \mathbb{R}^{n} \rightarrow \mathbb{R}$ be polynomials. Denote by $X_{1}, \ldots, X_{r}$ the associated algebraic varieties.
The Bezout inequality states that :

$$
\operatorname{deg}\left(X_{1} \cap \ldots \cap X_{r}\right) \leq \prod \operatorname{deg}\left(X_{i}\right)
$$

[B]

Denote by $P_{1}, \ldots, P_{r}$ the Newton polytopes of $f_{1}, \ldots, f_{r}$

## Bezout inequality

Let $f_{1}, \ldots, f_{r}: \mathbb{R}^{n} \rightarrow \mathbb{R}$ be polynomials. Denote by $X_{1}, \ldots, X_{r}$ the associated algebraic varieties.
The Bezout inequality states that :

$$
\begin{equation*}
\operatorname{deg}\left(X_{1} \cap \ldots \cap X_{r}\right) \leq \prod \operatorname{deg}\left(X_{i}\right) \tag{B}
\end{equation*}
$$

Denote by $P_{1}, \ldots, P_{r}$ the Newton polytopes of $f_{1}, \ldots, f_{r}$
We can reformulate $[B]$ within the language of mixed volumes :

$$
V\left(P_{1}, \ldots, P_{r}, \Delta[n-r]\right) V(\Delta)^{r-1} \leq \prod_{i=1}^{r} V\left(P_{i}, \Delta[n-1]\right)
$$

thanks to a theorem by Bernstein, Kushnirenko and Khovanskii.

## Bezout inequality (again)

Let $f_{1}, \ldots, f_{n}: \mathbb{R}^{n} \rightarrow \mathbb{R}$ be polynomials.
Let $X=X_{2} \cap \ldots \cap X_{n}$ of dimension 1, and $Y=X_{1}($ codim.1).
Then Bezout inequality :

$$
\operatorname{deg}(X \cap Y) \leq \operatorname{deg}(X) \operatorname{deg}(Y)
$$

translates to

$$
V_{n}\left(P_{1}, \ldots, P_{n}\right) V_{n}(\Delta) \leq V_{n}\left(P_{2}, \ldots, P_{n}, \Delta\right) V_{n}\left(P_{1}, \Delta[n-1]\right)
$$

(which allows to recover previous inequality $[B]$ )

## Relaxed Bezout inequality

- for the $n$-simplex $\Delta$ :

$$
V\left(L_{1}, \ldots, L_{n}\right) V(\Delta) \leq V\left(L_{2}, \ldots, L_{n}, \Delta\right) V\left(L_{1}, \Delta[n-1]\right)
$$

- Thanks to Diskant inequality, J. Xiao has shown (2019) :

$$
V\left(L_{1}, \ldots, L_{n}\right) V(K) \leq n V\left(L_{2}, \ldots, L_{n}, K\right) V\left(L_{1}, K[n-1]\right)
$$

for any convex bodies $L_{1}, \ldots, L_{n}$, and for any $K$.

## Bezout constants

We define :

$$
b_{2}(K)=\max _{L_{1}, L_{2}} \frac{V\left(L_{1}, L_{2}, K[n-2]\right) V(K)}{V\left(L_{1}, K[n-1]\right) V\left(L_{2}, K[n-1]\right)} \geq 1
$$

And similarly

$$
b(K)=\max _{L_{1}, \ldots, L_{n}} \frac{V\left(L_{1}, \ldots, L_{n}\right) V(K)}{V\left(L_{2}, \ldots, L_{n}, K\right) V\left(L_{1}, K[n-1]\right)} \geq 1
$$

So that:

- $b_{2}(\Delta)=b(\Delta)=1$;
- $\forall K, 1 \leq b_{2}(K) \leq b(K)$;
- by [Diskant, Xiao] : $\max _{K} b(K) \leq n$.
- $\forall K, b(T K)=b(K)$, for any (full-rank) affine $T$.


## Who are the minimizers ?

Question [SZ '15]
For which bodies do we have $b_{2}(K)=1$ ?

Question [SSZ '18]
For which bodies do we have $b(K)=1$ ?

SZ '15 $\rightarrow$ [Soprunov, Zvavitch] (2015)
SSZ '18 $\rightarrow$ [Saroglou, Soprunov, Zvavitch] (2018)

## Who are the minimizers ?

Qstn [SZ '15] For which $K$, do we have $b_{2}(K)=1$ ?

Qstn [SSZ '18] For which $K$ do we have $b(K)=1$ ?

- Theorem[ SSZ '18] If $b(K)=1$, then $K=\Delta$.


## Who are the minimizers ?

Qstn [SZ '15] For which $K$, do we have $b_{2}(K)=1$ ?

Qstn [SSZ '18] For which $K$ do we have $b(K)=1$ ?

- Theorem[ SSZ '18] If $b(K)=1$, then $K=\Delta$.
- this doesn't close former question, since $b_{2}(K) \leq b(K)$.


## Who are the minimizers ?

Qstn [SZ '15] For which $K$, do we have $b_{2}(K)=1$ ?

- Theorem[ SSZ '18] .If $b_{2}(P)=1$, then $P=\Delta$. (where $P$ is an $n$-polytope )

Qstn [SSZ '18] For which $K$ do we have $b(K)=1$ ?

- Theorem[ SSZ '18] If $b(K)=1$, then $K=\Delta$.


## Who are the minimizers ?

Qstn [SZ '15] For which $K$, do we have $b_{2}(K)=1$ ?

- Theorem[ SSZ '18] .If $b_{2}(P)=1$, then $P=\Delta$. (where $P$ is an $n$-polytope )
$-\operatorname{Prop}\left[S Z\right.$ '15] if $b_{2}(K)=1$, then $K \neq A+B \quad$ (with $\left.A \not \equiv B\right)$ ( $K$ cannot be decomposable)

Qstn [SSZ '18] For which $K$ do we have $b(K)=1$ ?

- Theorem[ SSZ '18] If $b(K)=1$, then $K=\Delta$.


## Who are the minimizers ?

Qstn [SZ '15] For which $K$, do we have $b_{2}(K)=1$ ?

- Thm[ SSZ '18] Let $P \in \mathbf{P o l y}_{n}$. Then $b_{2}(P)=1 \Rightarrow P=\Delta$.
- Thm['15, '18] if $b_{2}(K)=1$, then $K$ cannot be weakly decomposable $\left(\rightarrow K \notin \mathcal{W}_{n}\right)$

Qstn [SSZ '18] For which $K$ do we have $b(K)=1$ ?

- Theorem[ SSZ '18] If $b(K)=1$, then $K=\Delta$.


## Who are the minimizers ?

Qstn [SZ '15] For which $K$, do we have $b_{2}(K)=1$ ?

- Thm[ SSZ '18] Let $P \in \mathbf{P o l y}_{n}$. Then $b_{2}(P)=1 \Rightarrow P=\Delta$.
- Thm['15, '18] if $b_{2}(K)=1$, then $K$ cannot be weakly decomposable ( $\rightarrow K \notin \mathcal{W}_{n}$ )
$\rightarrow$ excludes bodies with (somewhere) smooth boundary.

Qstn [SSZ '18] For which $K$ do we have $b(K)=1$ ?

- Theorem[ SSZ '18] If $b(K)=1$, then $K=\Delta$.


## Who are the minimizers ?

Qstn [SZ '15] For which $K$, do we have $b_{2}(K)=1$ ?

- Thm[ SSZ '18] Let $P \in$ Poly $_{n}$. Then $b_{2}(P)=1 \Rightarrow P=\Delta$.
- Thm['15, '18] if $b_{2}(K)=1$, then $K$ cannot be weakly decomposable $\left(\rightarrow K \notin \mathcal{W}_{n}\right)$
$\longrightarrow$ recovers characterization among polytopes, since Poly $_{n} \cap \mathcal{W}_{n}=$ Poly $_{n} \backslash\{\Delta\}$.

Qstn [SSZ '18] For which $K$ do we have $b(K)=1$ ?

- Theorem[ SSZ '18] If $b(K)=1$, then $K=\Delta$.


## Who are the minimizers ?

Qstn [SZ '15] For which $K$, do we have $b_{2}(K)=1$ ?

- Thm[ SSZ '18] Let $P \in$ Poly $_{n}$.Then $b_{2}(P)=1 \Rightarrow P=\Delta$.
- Thm['15, '18] if $b_{2}(K)=1$, then $K$ cannot be weakly decomposable $\left(\rightarrow K \notin \mathcal{W}_{n}\right.$ )
- ... some more restrictions, eg : at most finitely many facets.

Qstn [SSZ '18] For which $K$ do we have $b(K)=1$ ?

- Theorem[ SSZ '18] If $b(K)=1$, then $K=\Delta$.
$\rightarrow$ proof uses Wulff shape bodies, a pointwise Aleksandrov differentiation lemma, and builds on above restrictions.


## A new necessary condition

Let $L \in \mathcal{K}_{n}$ be a $k$-dimensional. Denote :

$$
\operatorname{Iso}(L):=\frac{1}{k} \frac{\operatorname{Vol}_{k-1}(\partial L)}{\operatorname{Vol}_{k}(L)}=: \frac{1}{k} \frac{|\partial L|}{|L|}
$$

Thm[S. 2022] If $b_{2}(K)=1$, then :
For any facet $F$ of $K: \operatorname{Iso}(F) \leq \operatorname{Iso}(K)$.
$\rightarrow$ recovers the "at most finitely many facets" restriction.

## A new necessary condition

Let $L \in \mathcal{K}_{n}$ be a $k$-dimensional. Denote :

$$
\operatorname{Iso}(L):=\frac{1}{k} \frac{\operatorname{Vol}_{k-1}(\partial L)}{\operatorname{Vol}_{k}(L)}=: \frac{1}{k} \frac{|\partial L|}{|L|}
$$

Thm[S. 2022] If $b_{2}(K)=1$, then, for any affine transform $T$ :

$$
\text { For any facet } F \text { of } K: I s o(T F) \leq I s o(T K)
$$

(since $b_{2}(K)$ is affine invariant, while $\max _{F} \frac{I s o(F)}{\operatorname{lso}(K)}$, is not)

## A new necessary condition

Let $L \in \mathcal{K}_{n}$ be a $k$-dimensional. Denote :

$$
\operatorname{Iso}(L):=\frac{1}{k} \frac{\operatorname{Vol}_{k-1}(\partial L)}{\operatorname{Vol}_{k}(L)}=: \frac{1}{k} \frac{|\partial L|}{|L|}
$$

Thm[S. 2022] If $b_{2}(K)=1$, then, for any affine transform $T$ :

$$
\text { For any facet } F \text { of } K: I s o(T F) \leq I s o(T K)
$$

(since $b_{2}(K)$ is affine invariant, while $\max _{F} \frac{I s o(F)}{\operatorname{lso}(K)}$, is not)

- Question : if $P \neq \Delta$, does there always exist

$$
\text { an affine transform } T \text { s.t. } \max _{F} \frac{\operatorname{Iso}(T F)}{\operatorname{Iso}(T P)}>1 \text { ? }
$$

... any questions?

Thank you for your attention !!

## Isoperimetric Inequalities for Hessian Valuations

Jacopo Ulivelli

<br>SAPIENZA<br>Universitì di Roma<br>Department of Mathematics Guido Castelnuovo

May 22nd/28th 2022
Workshop in Convexity and High-dimensional probability, Atlanta

## Ambient Spaces...

```
\mathcal{K}}\mp@subsup{}{}{n+1}:={\mathrm{ compact convex bodies in }\mp@subsup{\mathbb{R}}{}{n+1} with the topology induced by the Hausdorff distance.
```


## Ambient Spaces...

$\mathcal{K}^{n+1}:=\left\{\right.$ compact convex bodies in $\left.\mathbb{R}^{n+1}\right\}$ with the topology induced by the Hausdorff distance.
$\operatorname{Conv}_{s c}\left(\mathbb{R}^{n}\right):=\left\{u: \mathbb{R}^{n} \rightarrow \mathbb{R} \cup\{+\infty\}\right.$ : convex, I.s.c. and proper, $\left.\lim _{|x| \rightarrow \infty} \frac{u(x)}{|x|}=+\infty\right\}$ with the topology induced by epi-convergence:
$u_{j} \rightarrow_{e p i} u$ if

- For every sequence $\left(x_{j}\right)$ that converges to $x, u(x) \leq \liminf _{j \rightarrow \infty} u_{j}\left(x_{j}\right)$.
- There exists a sequence $\left(x_{j}\right)$ converging to $x$ such that $u(x)=\lim _{j \rightarrow \infty} u_{j}\left(x_{j}\right)$.


## ...and their Valuations

## Valuations on $\mathcal{K}^{n+1}$

Functionals $Y: \mathcal{K}^{n+1} \rightarrow \mathbb{R}$ such that for every $K, L \in \mathcal{K}^{n+1}, K \cup L \in \mathcal{K}^{n+1}$

$$
Y(K \cup L)+Y(K \cap L)=Y(K)+Y(L) .
$$

## ...and their Valuations

## Valuations on $\mathcal{K}^{n+1}$

Functionals $Y: \mathcal{K}^{n+1} \rightarrow \mathbb{R}$ such that for every $K, L \in \mathcal{K}^{n+1}, K \cup L \in \mathcal{K}^{n+1}$

$$
Y(K \cup L)+Y(K \cap L)=Y(K)+Y(L) .
$$

## Valuations on $\operatorname{Conv}_{s c}\left(\mathbb{R}^{n}\right)$

Functionals $Z: \operatorname{Conv}_{s c}\left(\mathbb{R}^{n}\right) \rightarrow \mathbb{R}$ such that for every $u, v \in \operatorname{Conv}_{s c}\left(\mathbb{R}^{n}\right)$, $u \wedge v \in \operatorname{Conv}_{\mathrm{sc}}\left(\mathbb{R}^{n}\right)$

$$
Z(u \wedge v)+Z(u \vee v)=Z(u)+Z(v) .
$$

[Ludwig, Alesker, Colesanti, Mussnig, Knoerr...]

## $n$-homogeneous valuations on $\mathcal{K}^{n+1}$

## Theorem McMullen, 1980]

A functional $Y: \mathcal{K}^{n+1} \rightarrow \mathbb{R}$ is a continuous, translation invariant real valued valuation which is $n$-homogeneous, if and only if there exists a continuous function $\eta: \mathbb{S}^{n} \rightarrow \mathbb{R}$ such that

$$
Y(K)=\int_{\mathbb{S}^{n}} \eta(\nu) d S_{n}(K, \nu)
$$

for every $K \in \mathcal{K}^{n+1}$. The function $\eta$ is uniquely determined up to adding the restriction to $\mathbb{S}^{n}$ of a linear function.

## $n$-homogeneous valuations on $\operatorname{Conv}_{s c}\left(\mathbb{R}^{n}\right)$

## Theorem [Colesanti, Ludwig and Mussnig, 2020]

A functional $Z: \operatorname{Conv}_{s c}\left(\mathbb{R}^{n}\right) \rightarrow \mathbb{R}$ is a continuous and epi-translation invariant valuation that is epi-homogeneous of degree $n$, if and only if there exists $\zeta \in C_{0}\left(\mathbb{R}^{n}\right)$ such that

$$
Z(u)=\int_{\operatorname{dom}(u)} \zeta(\nabla u(x)) d x
$$

for every $u \in \operatorname{Conv}_{\text {sc }}\left(\mathbb{R}^{n}\right)$.

## $n$-homogeneous valuations on $\operatorname{Conv}_{s c}\left(\mathbb{R}^{n}\right)$

## Theorem [Colesanti, Ludwig and Mussnig, 2020]

A functional $Z: \operatorname{Conv}_{s c}\left(\mathbb{R}^{n}\right) \rightarrow \mathbb{R}$ is a continuous and epi-translation invariant valuation that is epi-homogeneous of degree $n$, if and only if there exists $\zeta \in C_{0}\left(\mathbb{R}^{n}\right)$ such that

$$
Z(u)=\int_{\operatorname{dom}(u)} \zeta(\nabla u(x)) d x
$$

for every $u \in \operatorname{Conv}_{s c}\left(\mathbb{R}^{n}\right)$.

This result can be proved as a consequence of McMullen's Theorem [Knoerr and U., 2022+]

## Are there inequalities for these functionals?

First of all, one needs to work on the family

$$
\operatorname{Conv}_{0}\left(\mathbb{R}^{n}\right):=\left\{u \in \operatorname{Conv}_{s c}\left(\mathbb{R}^{n}\right): \partial \operatorname{dom}(u)=\{u=0\}\right\}
$$

## Are there inequalities for these functionals?

First of all, one needs to work on the family

$$
\operatorname{Conv}_{0}\left(\mathbb{R}^{n}\right):=\left\{u \in \operatorname{Conv}_{s c}\left(\mathbb{R}^{n}\right): \partial \operatorname{dom}(u)=\{u=0\}\right\}
$$

- Brunn-Minkowski type inequalities: if and only if $\zeta$ is a real valued convex function. Consequence of [Colesanti, Hug and Saorin-Gomez, 2014]. Already studied by [Klartag, 2005].


## Are there inequalities for these functionals?

First of all, one needs to work on the family

$$
\operatorname{Conv}_{0}\left(\mathbb{R}^{n}\right):=\left\{u \in \operatorname{Conv}_{s c}\left(\mathbb{R}^{n}\right): \partial \operatorname{dom}(u)=\{u=0\}\right\}
$$

- Brunn-Minkowski type inequalities: if and only if $\zeta$ is a real valued convex function. Consequence of [Colesanti, Hug and Saorin-Gomez, 2014]. Already studied by [Klartag, 2005].
- Isoperimetric inequalities: if and only if $\frac{\zeta(x)}{\sqrt{1+|x|^{2}}}$ is bounded away from 0 [Mussnig and U., 2022+].


## Are there inequalities for these functionals?

First of all, one needs to work on the family

$$
\operatorname{Conv}_{0}\left(\mathbb{R}^{n}\right):=\left\{u \in \operatorname{Conv}_{s c}\left(\mathbb{R}^{n}\right): \partial \operatorname{dom}(u)=\{u=0\}\right\}
$$

- Brunn-Minkowski type inequalities: if and only if $\zeta$ is a real valued convex function. Consequence of [Colesanti, Hug and Saorin-Gomez, 2014]. Already studied by [Klartag, 2005].
- Isoperimetric inequalities: if and only if $\frac{\zeta(x)}{\sqrt{1+|x|^{2}}}$ is bounded away from 0 [Mussnig and U., 2022+].
In both cases we lose the continuity for the corresponding valuations.


## The inequality

For $u \in \operatorname{Conv}_{0}\left(\mathbb{R}^{n}\right)$ we define

$$
V_{n, \zeta}(u):=\int_{\operatorname{dom}(u)} \zeta(\nabla u(x)) d x, \quad V_{n+1}(u):=\int_{\operatorname{dom}(u)}|u(x)| d x
$$

## Theorem (Mussnig and U., 2022+)

If $\zeta \in C\left(\mathbb{R}^{n}\right), \zeta(x) \geq c \sqrt{1+|x|^{2}}, c>0$, then

$$
V_{n, \zeta}(u)^{\frac{1}{n}} \geq C(n, \zeta) V_{n+1}(u)^{\frac{1}{n+1}}
$$

for every $u \in \operatorname{Conv}_{0}\left(\mathbb{R}^{n}\right)$.
Hint of proof: Many changes of variables and Wulff's inequality

THANKS FOR YOUR ATTENTION!

# Potential Theory with Multivariate Kernels 

Damir Ferizović<br>Department of Mathematics<br>KU Leuven

## KULEUVEN

## History

In 1904, physicist and Nobel Prize winner J. Thomson worked on a model of the atom - this led to the question: which configuration of electrons on a spherical shell would minimize electrostatic potential energy. Known configurations for $N \in\{1,2,3,4,5,6,12\}$.


Coulomb Potential: Given a point set $\omega_{N}:=\left\{x_{1}, \ldots, x_{N}\right\}$ on the sphere, minimize

$$
\sum_{j \neq s} \frac{1}{\left\|x_{j}-x_{s}\right\|}
$$

## Riesz potential

Let $K: \Omega \times \Omega \rightarrow \mathbb{R} \cup\{\infty\}$ where $\Omega=\mathbb{T}^{2}, K(x, y)=f(\|x-y\|)$ and

$$
f(r)=r^{-\alpha} .
$$



* Borodachov, Hardin and Saff: "Discrete Energy on Rectifiable Sets" (2019).


## Generalization

Let $\omega_{N}:=\left\{x_{1}, \ldots, x_{N}\right\} \subset(\Omega, d)$, with $\Omega$ compact and infinite, and $K: \Omega \times \Omega \rightarrow \mathbb{R} \cup\{\infty\}$, investigate

$$
E_{K}\left[\omega_{N}\right]=\sum_{j \neq s} K\left(x_{j}, x_{s}\right) .
$$

Lemma. Let $N>1$, then for arbitrary $K$

$$
\frac{\inf _{\omega_{N}} E_{K}\left[\omega_{N}\right]}{N(N-1)} \nearrow C \in \mathbb{R} \cup\{\infty\} .
$$

Proof. For fixed $x_{j} \in \omega_{N+1}$

$$
E_{K}\left[\omega_{N+1}\right]=E_{K}\left[\omega_{N+1} \backslash\left\{x_{j}\right\}\right]+\sum_{s=1, s \neq j}^{N+1} K\left(x_{j}, x_{s}\right)+K\left(x_{s}, x_{j}\right),
$$

and summing up over $j$

$$
(N+1) E_{K}\left[\omega_{N+1}\right] \geq(N+1) \inf _{\omega_{N}} E_{K}\left[\omega_{N}\right]+2 E_{K}\left[\omega_{N+1}\right] .
$$

## Example: Green kernel

Let $(\Omega, d)=(M, g)$ a closed Riemannian manifold, and $\mathcal{G}$ the normalized Green function for the Laplace-Beltrami operator; set

$$
K(x, y)=\mathcal{G}(x, y)
$$

Theorem. For $M=\mathrm{SO}(3)$, we have

$$
-3 \pi^{1 / 3} N^{4 / 3} \leq \inf _{\omega_{N} \subset \operatorname{SO}(3)} E_{\mathcal{G}}\left(\omega_{N}\right)+O(N) \leq-4\left(\frac{3}{4}\right)^{4 / 3} N^{4 / 3}
$$

^ Beltrán \& DF: "Approximation to uniform distribution in SO(3)", Constr Approx 52 (2020).

## Uniform distribution

Theorem. For a compact Riemannian manifold $(M, g)$ with $\operatorname{dim}(M)>1$, let $G$ be its normalized Green function, then

$$
I_{G}(\lambda)=\inf _{\mu \in \mathbb{P}(M)} I_{G}(\mu)=\inf _{\mu \in \mathbb{P}(M)} \iint_{M} G(x, y) \mathrm{d} \mu(x) \mathrm{d} \mu(y)
$$

where $\lambda$ is the uniform measure on $M$. Minimizing point sets $\omega_{N}$ for the Green energy satisfy

$$
\omega_{N} \xrightarrow{w *} \lambda .
$$

^ Beltrán, Corral, Criado Del Rey: "Discrete and continuous Green energy on compact manifolds" Journal of Approximation Theory (2019).

## Generalization II

A kernel $K: \Omega^{2} \rightarrow \mathbb{R}$ is called positive definite if for every finite signed Borel measure $\mu \in \mathcal{M}(\Omega)$

$$
I_{K}(\mu)=\iint_{\Omega} K(x, y) \mathrm{d} \mu(x, y) \geq 0 .
$$

It is called conditionally positive definite if

$$
I_{K}(\mu) \geq 0
$$

for all $\mu \in \mathcal{M}(\Omega)$ with

$$
\mu(\Omega)=0 .
$$

(One assumes the integrals to make sense.) Sum, limit, and product of PD kernels is again PD.

## Convexity of $I_{K}$

Lemma. (BHS p.135) Let $K$ be symmetric, lower semi-continuous, and conditionally positive definite. Given $\mu, \nu \in \mathbb{P}(\Omega)$ with

$$
I_{K}(\mu), I_{K}(\nu)<\infty,
$$

then

$$
2 I_{K}(\mu, \nu) \leq I_{K}(\mu)+I_{K}(\nu)
$$

where

$$
I_{K}(\mu, \nu)=\iint K(x, y) \mathrm{d} \mu(x) \mathrm{d} \nu(y) .
$$

## Corollary.

$$
I_{K}(t \mu+(1-t) \nu) \leq t I_{K}(\mu)+(1-t) I_{K}(\nu) .
$$

^ Bilyk, Matzke, Vlasiuk: "Positive definiteness and the Stolarsky invariance principle." arXiv (2021).

## Multivariate kernels in Applications

Axilrod-Teller Potential. Let the angle between vectors $x, y$ be denoted by $a(x, y)$

$$
K(x, y, z)=\frac{1+3 a(x, y) a(y, z) a(x, z)}{d(x, y)^{3} d(y, z)^{3} d(x, z)^{3}} .
$$

$\star$ Axilrod, Teller: "Interaction of the van der Waals Type Between Three Atoms", Journal of Chemical Physics. 11 (1943).

Menger Curvature. Let $A(x, y, z)$ be the area of the triangle, spanned by $x, y, z$.

$$
c(x, y, z)=\frac{4 A(x, y, z)}{d(x, y) d(y, z) d(x, z)}
$$

## Stillinger-Weber Potential.

* Stillinger, Weber: "Computer simulation of local order in condensed phases of silicon", Physical Review B. 31 (1985).


## Investigated and used for

## Kissing Numbers.

* Bachoc, Vallentin: "New Upper Bounds for Kissing Numbers from Semidefinite Programming", Journal of the American Mathematical Society 21 (3) (2008).


## Energy Minimization.

$\star$ Cohn, Woo: "Three-Point Bounds for Energy Minimization", Journal of the AMS (25) 4 (2012).

* Bilyk, DF, Glazyrin, Matzke, Park, Vlasiuk: "Potential theory with multivariate kernels", Math Z (2022).


## Generalization III

A real-valued, symmetric, and continuous kernel $K$ will be called (conditionally) 3-positive definite, if for any fixed $z \in \Omega$, it holds for

$$
G_{z}(x, y):=K(x, y, z)
$$

Sum, limit, and product of PD kernels is again PD.

Corollary. $H(x, y)=\int K(x, y, z) \mathrm{d} \mu(z)$ is (conditionally) positive definite, if $K$ is.

Lemma. Let $2 \leq m \leq n-1$, and suppose $H: \Omega^{m} \rightarrow \mathbb{R}$ is continuous, symmetric, and conditionally $m$-positive definite. Then

$$
K\left(z_{1}, \ldots, z_{n}\right):=\sum_{1 \leq j_{1}<j_{2}<\cdots<j_{m} \leq n} H\left(z_{j_{1}}, z_{j_{2}}, \ldots, z_{j_{m}}\right)
$$

is conditionally $n$-positive definite.

## Some results

Lemma. Suppose $K$ is symmetric, continuous, and (conditionally) PD, then for $\mu_{j} \in \mathbb{P}(\Omega)$

$$
I_{K}\left(\mu_{1}, \ldots, \mu_{n}\right) \leq \frac{1}{n} \sum_{j=1}^{n} I_{K}\left(\mu_{j}\right) .
$$

Corollary. $I_{K}$ is convex.

Now let $\Omega=\mathbb{S}^{2}$, and $K$ be rotationally invariant, i.e. have the form

$$
K\left(x_{1}, \ldots, x_{n}\right)=F\left(\left(\left\langle x_{i}, x_{j}\right\rangle\right)_{i, j=1}^{n}\right) .
$$

## Some results II

Theorem. Suppose that $K:\left(\mathbb{S}^{2}\right)^{n} \rightarrow \mathbb{R}$ is continuous, symmetric, rotationally invariant, and conditionally $n$-positive definite on $\mathbb{S}^{2}$. Then $\sigma$ is a minimizer of $I_{K}$ over $\mathbb{P}\left(\mathbb{S}^{2}\right)$.

We will write $K(x, y, z)=F(u, v, t)$ where

$$
u=\langle x, y\rangle, \quad v=\langle z, y\rangle, \quad t=\langle x, z\rangle .
$$

Corollary. Let $f:[-1,1] \rightarrow \mathbb{R}$ be a real-analytic function with nonnegative Maclaurin coefficients and let $F(u, v, t)=f(u v t)$. Then the uniform surface measure $\sigma$ minimizes the energy $I_{K}$ over $\mathbb{P}\left(\mathbb{S}^{2}\right)$.

Thank you for your Time

# Minimizing $p$-Frame Energies and Mixed Volumes 

Ryan W. Matzke

Technische Universität Graz

The research in this presentation is in collaboration with Dmitriy Bilyk, Alexey Glazyrin, Josiah Park, and Oleksandr Vlasiuk.

## Energy on the Sphere

Let $\mathbb{S}^{d-1}$ be the unit sphere in $\mathbb{R}^{d}$. Given a continuous (potential) function $F:[-1,1] \rightarrow \mathbb{R}$, the (discrete) energy of a configuration (multiset) $\omega_{N}=\left\{z_{1}, \ldots, z_{N}\right\} \subset \mathbb{S}^{d-1}$ is

$$
E_{F}\left(\omega_{N}\right)=\frac{1}{N^{2}} \sum_{i, j=1}^{N} F\left(\left\langle z_{i}, z_{j}\right\rangle\right),
$$

and the (continuous) energy of a probability measure $\mu \in \mathbb{P}\left(\mathbb{S}^{d-1}\right)$ is

$$
I_{F}(\mu)=\int_{\mathbb{S}^{d}-1} \int_{\mathbb{S}^{d}-1} F(\langle x, y\rangle) d \mu(x) d \mu(y)
$$

- What is the mimimal energy (for fixed $N$ for $E_{F}$ )?
- Is the uniform measure $\sigma$ a minimizer of $I_{F}$ ? Is the support of any minimizer of a lower dimension? Discrete?
- Are minimizers of $E_{F}$ uniformly distributed? Well-separated? Do they concentrate and form "clumps"? What happens as $N \rightarrow \infty$ ?


## Energy on the Sphere

Let $\mathbb{S}^{d-1}$ be the unit sphere in $\mathbb{R}^{d}$. Given a continuous (potential) function $F:[-1,1] \rightarrow \mathbb{R}$, the (discrete) energy of a configuration (multiset) $\omega_{N}=\left\{z_{1}, \ldots, z_{N}\right\} \subset \mathbb{S}^{d-1}$ is

$$
E_{F}\left(\omega_{N}\right)=\frac{1}{N^{2}} \sum_{i, j=1}^{N} F\left(\left\langle z_{i}, z_{j}\right\rangle\right),
$$

and the (continuous) energy of a probability measure $\mu \in \mathbb{P}\left(\mathbb{S}^{d-1}\right)$ is

$$
I_{F}(\mu)=\int_{\mathbb{S}^{d-1}} \int_{\mathbb{S}^{d-1}} F(\langle x, y\rangle) d \mu(x) d \mu(y)
$$

- If $\mu_{\omega_{N}}=\frac{1}{N} \sum_{j=1}^{N} \delta_{z j}$, then

$$
I_{F}\left(\mu_{\omega_{N}}\right)=\frac{1}{N^{2}} \sum_{i, j=1}^{N} F\left(\left\langle z_{i}, z_{j}\right\rangle\right)=E_{F}\left(\omega_{N}\right)
$$

- The weak* density of the linear span of Dirac masses in $\mathbb{P}\left(\mathbb{S}^{d-1}\right)$ gives

$$
\lim _{N \rightarrow \infty} \min _{\omega_{N} \subset \mathbb{S}^{d-1}} E_{F}\left(\omega_{N}\right)=\inf _{\mu \in \mathbb{P}\left(\mathbb{S}^{d-1}\right)} I_{F}(\mu)
$$

## Riesz s-energies

For $s \in \mathbb{R}$, we define the Riesz kernel as

$$
R_{s}(\langle x, y\rangle)= \begin{cases}\frac{1}{\|x-y\|^{s}} & s>0 \\ -\log (\|x-y\|) & s=0 \\ -\|x-y\|^{-s} & s<0\end{cases}
$$

Coulomb $(s=d-2)$, Logarithmic $(s=0)$, Euclidean distance $(s=-1)$.

## Theorem (Björck, 1956)

The minimizers of $I_{R_{s}}$ are

- $\sigma$ (uniquely) if $-2<s<d$
- Any measure with center of mass at the origin if $s=-2$
- Any measure of the form $\frac{1}{2}\left(\delta_{p}+\delta_{-p}\right)$ if $s<-2$.


## Theorem (Classical; Götz, Hardin, Kuijlaars, Saff)

If $s>-2$, the minimizers of $E_{R_{s}}$ are uniformly distributed on the sphere.

## p-Frame Energy

Stronger repulsion tends to lead to minimizers "spreading out" while weaker repulsion leads to the support concentrating.

## Theorem (Carillo, Figalli, Patacchini, 2017)

Suppose $F(\langle x, y\rangle)=G(\|x-y\|)$ and $G^{\prime}(t) \sim-t^{\alpha-1}$ as $t \rightarrow 0$ for some $\alpha>2$. If $\mu$ is a minimizer of $I_{F}$, then $\mu$ has discrete (finite) support.

For $p \in(0, \infty)$, we define the $p$-frame potential as

$$
F_{p}(\langle x, y\rangle)=|\langle x, y\rangle|^{p} .
$$

Minimizing this energy for $p=2$ results in tight frames/isotropic measures and for $p=4$ (in the complex setting) results in symmetric information complete positive operator-valued measures (SIC-POVM's).

Since $|\langle x, y\rangle|^{p}=1-\frac{p}{2}\|x-y\|^{2}+\mathbf{O}\left(\|x-y\|^{4}\right)$, it falls into the limit case $\alpha=2$. We might expect the types of minimizers to vary with $p$.

## p-Frame Energy

## Theorem (Bilyk, Glazyrin, Matzke, Park, Vlasiuk, 2021)

If $p \in 2 \mathbb{N}, \sigma$ is a minimizer of $I_{F_{p}}$. If $p \notin 2 \mathbb{N}$ and $\mu$ is a minimizer, then $(\operatorname{supp}(\mu))^{\circ}=\emptyset$.

## Conjecture (Bilyk, Glazyrin, Matzke, Park, Vlasiuk)

If $p \notin 2 \mathbb{N}$, then the minimizers of the p-frame energy are discrete.

## Theorem (Bilyk, Glazyrin, Matzke, Park, Vlasiuk, 2022)

If $C$ is a tight $(2 m+1)$-design on $\mathbb{S}^{d-1}$ and $p \in(2 m-2,2 m)$, then $\mu=\frac{1}{\# C} \sum_{x \in C} \delta_{x}$ is a minimizer of $I_{F_{p}}$. Moreover, when this happens, all minimizers of $I_{F_{p}}$ are discrete.

## Tight Designs

A spherical $k$-design is a set of points $\left\{x_{1}, \ldots, x_{N}\right\} \subset \mathbb{S}^{d-1}$ such that

$$
\int_{\mathbb{S}^{d-1}} q(x) d \sigma(x)=\frac{1}{N} \sum_{i=1}^{N} q\left(x_{i}\right)
$$

for all polynomials $q$ on $\mathbb{R}^{d}$ of degree at most $k$. A spherical $(2 m+1)$-design is tight if it is centrally symmetric and there are $m+2$ inner products between its points.

| $d$ | $\|C\|$ | $p$-range | Configuration |
| :---: | :---: | :---: | :---: |
| $d$ | $2 d$ | $(0,2)$ | cross polytope |
| 2 | $2 k$ | $(2 k-4,2 k-2)$ | $2 k$-gon |
| 3 | 12 | $(2,4)$ | icosahedron |
| 7 | 56 | $(2,4)$ | kissing configuration |
| 8 | 240 | $(4,6)$ | $E_{8}$ roots |
| 23 | 552 | $(2,4)$ | equiangular lines |
| 23 | 4600 | $(4,6)$ | kissing configuration |
| 24 | 196560 | $(8,10)$ | Leech lattice |

## $L^{p}$-mixed Volumes

Let $C \subset \mathbb{R}^{d}$ be a convex body,

$$
\sigma_{C}(B)=\left|\left\{x \in \partial C: n_{x} \in B\right\}\right|_{d-1}
$$

for all Borel $B \subseteq \mathbb{S}^{d-1}$, and $h_{C}$ be the support function of $C$

$$
h_{C}(y)=\sup _{x \in C}\langle x, y\rangle .
$$

Given two convex bodies, $C$ and $D$, and $p \geq 1$, we define the $L^{p}$-mixed volume of the two to be

$$
V_{p}(C, D)=\frac{p}{d} \lim _{\varepsilon \rightarrow 0} \frac{\left|C+_{p} \varepsilon D\right|_{d}-|C|_{d}}{\varepsilon}=\frac{1}{d} \int_{\mathbb{S}^{d}-1} h_{D}(x)^{p} h_{C}(x)^{1-p} d \sigma_{C}(x)
$$

where $C+_{p} \varepsilon D$ is the convex body with support function

$$
h_{C+p}(x)=\sqrt[p]{h_{C}(x)^{p}+\varepsilon h_{D}(x)^{p}}
$$

## Mixed Volumes with Projection Bodies

The $L^{p}$-projection body of $C, \Pi_{p} C$, is the origin-symmetric convex body with support function

$$
h_{\Pi_{p} C}(x)=\left(c_{d, p} \int_{\mathbb{S}^{d-1}}|\langle x, y\rangle|^{p} h_{C}(x)^{1-p} d \sigma_{C}(x)\right)^{\frac{1}{p}} .
$$

Defining $\sigma_{C, p}$ such that $d \sigma_{C, p}(x)=h_{C}(x)^{1-p} d \sigma_{C}(x)$, we see that

$$
\begin{aligned}
I_{F_{p}}\left(\sigma_{C, p}\right) & =\iint_{\mathbb{S}^{d-1} \times \mathbb{S}^{d-1}}|\langle x, y\rangle|^{p} d \sigma_{C, p}(x) d \sigma_{C, p}(y) \\
& =\frac{1}{c_{d, p}} \int_{\mathbb{S}^{d-1}} h_{\Pi_{p} C}(x)^{p} h_{C}(x)^{1-p} d \sigma_{C}(x)=\frac{d}{c_{d, p}} V_{p}\left(C, \Pi_{p} C\right)
\end{aligned}
$$

Thus, minimizing the $p$-frame energy (over admissible measures) is the same as minimizing $V_{p}\left(C, \Pi_{p} C\right)$ over all symmetric convex bodies $C$ (scaled to satisfy $\sigma_{C, p}\left(\mathbb{S}^{d-1}\right)=1$ ).

## Proposition (Bilyk, Glazyrin, Matzke, Park, Vlasiuk, 2022)

The quantity $\frac{V_{1}\left(C, \Pi_{1} C\right)}{|\partial C|_{d-1}^{2}}$ is minimized if and only if $C$ is a hypercube.

## Minimizing $p$-Frame Energies and Mixed Volumes

## Thank you!

${ }^{0}$ This work was in collaboration with Dmitriy Bilyk, Alexey Glazyrin, Josiah Park, and Oleksandr Vlasiuk, and was supported in part by the National Science Foundation Graduate Research Fellowship Grant 00039202.

